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## BAC-9435

### Contact Information

Contact Name	Biswanath Das	Home Address	
Preferred Phone	212-518-4410	Home City	Denver
Preferred Email	biswanathdas.audit@gmail.com	Home State	CO
Other Phone		Home Zip	80210
Other Email		County	Denver
DOB		Hispanic or Latino origin or Descent?	No
SSN		Race/Ethnicity	Asian
Gender	Male	Other Ethnicity	
Other Gender		Salutation	Mr.

### Board Information

Board Name	Denver Commission on Aging	Other boards or commissions served	
Status	New		

### Work Information

Employer	Amazon	Work Address	1900 15th Street
Position	Sr. Compliance Manager	Work City	Boulder
Business Phone #	720-595-2695	Work State	CO
Work Email	bdndsd@amazon.com	Work Zip	80302

### Additional Information

Are you a registered voter?	No	Objection to appointment?	No
If so, what county?		Special Information	
Denver City Council District No	Unknown	Registered Lobbyist	No
Conflict of Interest Explanation		Conflict of Interest	No

### Education and General Qualifications

Name of High School		Name of Graduate School	University of Denver
Location of High School		Location of Graduate School	Denver
# of Years Attended High school		# of Years Attended Graduate School	2
Did you Graduate High School		Did you Graduate	Yes

Name of College  
Location of College  
# of Years Attended College  
Did you Graduate College  
Undergrad Major

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**Reference Details**

Agree to a background check

Owner Esther Lee Leach

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**Notes & Attachments**

**Resume\_Biswanath Das\_202404.pdf**

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Type **Attachment**  
Last Modified **Denver Integration**  
Description [View file](#)

# BISWANATH DAS

# SR. COMPLIANCE MANAGER

Audit, Risk and Governance Expert

Denver, CO-80210 • 212-518-4410 • biswanathdas.audit@gmail.com • [linkedin.com/in/biswanath-das-57093420](https://www.linkedin.com/in/biswanath-das-57093420)

**Audit and Compliance Management | Process Improvement | Team Leadership | Project Management**

## CAREER HIGHLIGHTS

**Audit & Compliance** : Successfully managed complex audit and compliance programs of large corporations.

**Governance Risk and Compliance** : Implemented GRC programs, continuous monitoring and reporting process to manage enterprise risks efficiently

**People and Project Management** : Supervised complex risk management and audit projects across geographies

## KEY SKILLS

SOX	Risk Management	SOC1, SOC2	People Mgt.	PowerBI/Tableau
Internal Audit	ITGC	HITRUST	Audit Quality Mgt.	AWS/ Azure
GRC	Audit Analytics	Finance Audit	Project Mgt.	Audit Automation
IT Audit	Regulatory Compliance	PCI DSS, FFIEC	RDBMS	Python/R

## PROFESSIONAL EXPERIENCE

**Sr. MRC Compliance Manager** (August 2022 – March 2024)

Amazon Inc, USA

- Spearheaded internal audits and risk assessments of Ad-Tech products, pinpointing compliance gaps and driving remediation, ensuring ongoing adherence to industry standards.
- Successfully implemented governance risk compliance framework within the group to implement and manage the control for enterprise wide GRC oversight. Ensured ongoing compliance with laws, regulations, and industry standards through robust monitoring and program updates.
- Oversaw development and implementation of best practice Information Security policies, SOPs, process improvement plans, translating standards into actionable controls.
- Led MRC controls assessment, partnering with development and product teams for targeted reviews. Collaborated with stakeholders and legal teams on risk posture and regulations. Strengthened governance through the review and redesign of IT processes with an MRC focus.

**Risk Assurance Program Manager, Internal Audit** (April 2019 - June 2021)

Fiserv Inc, Pune (India)

- Successfully implemented audit analytics program to support global audit operations and continuous monitoring initiatives.
- Oversaw planning, execution and reporting of internal audits as per annual audit plan
- Successfully implemented and operated the audit quality framework for global audit operations.
- Led and managed team of ten to deliver operations and IT audits, review of BCP/DR plans in the USA and APAC region.
- Successfully executed global internal audits, audit issue remediation and closure of audit issue, periodic audit committee reporting, and audit management dashboards
- Extensive involvement through leadership roles in people management, training and development, team mentoring and performance review.

**Manager-II, Control Assurance** (May 2017 - April 2019)

PriceWaterHouse Coopers, Kolkata (India)

- Enhanced risk framework aligning with digital strategy (using NIST, ISO 27001, COBIT, COSO), reducing security incidents. Trained leaders on emerging digital risks (cloud, AI, RPA, etc.), channeling feedback into operations to heighten security awareness and strategic decision making.
- Significant contribution in enhancing control design in high-risk domains, through strategic control analysis to proactively manage risks, coverage against industry standards. Achievements include elevated compliance, efficiency of control environment, and risk coverage, realizing substantial cost savings.

- Successfully planned and managed audit engagements from USA, Australia, UK, Canada from offshore location
- Led and managed a team of forty plus professionals from offshore unit to engage in multiple SOX, internal audit, SSAE-18(SOC1,SOC2), IT audit, HITRUST, third party risk assessment and regulatory compliance projects.
- Successfully managed 70,000 hours of audit and non-audit engagements across geographies
- Managed entire risk management portfolio of Australian territory. Increased engagement by 300% in 2018.
- Successfully designed and implemented automated audit test plans by using automation tools.

**Sr. Staff Auditor, Internal Audit** (June 2016 - May 2017)

United Airlines, Delhi (India)

- Handpicked to lead a global team of 4 auditors for a multi-year SOX program for key operations achieving zero deficiencies and improving existing internal auditing processes
- Led finance and operations internal audit projects from India per the annual audit plan.
- Managed SOX compliance and internal assurance functions
- Successfully conducted the risk assessment, prepared RACM, prepared annual audit plan and risk management advisory for business units.
- Led the audit analytics and control testing automation projects.
- Project management, resource planning and people management

**Assistant Technical Consultant- Risk Management** (February 2011 - June 2016)

RS Software India Ltd. (Visa Inc.), Kolkata (India)

- Led ITGC and ICFR audit engagements under SOX/PCAOB and IFRS/ISA standards. Effectively communicated findings, implications, and recommendations to audit managers and stakeholders.
- Performed ISMS (ISO/IEC 27001) internal control testing, design reviews on IT governance, change management, access security, and disaster recovery planning (COBIT). Conducted third-party compliance audits (ISAE3000 & ISAE3402) and advised stakeholders on enhancing internal control mechanisms.
- Coordination and management of global internal audit, SOX, FFICE Examination, PCI DSS compliance audit
- Successfully implemented risk assessment and risk management processes
- Led advisory projects on resolution of gaps identified, implemented audit evidence database management system.
- Evaluated the performance and capabilities of the assisting staff auditors, team management, training.

**Audit Officer** (August 2004 – January 2011)

Comptroller & Auditor General of India- Commercial Audit, Kolkata (India)

- Successfully performed propriety audit, performance audit, and accounts audit of commercial enterprises of Govt. of India.
- Supervised audit project management, risk assessment and compliance with Govt. regulations.
- Led financial fraud investigation in the commercial enterprises across India.
- Led the IT audit of Oil India Ltd. This was the first IT audit reported and placed in the parliament of India.

**EDUCATION**

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- Master of Commerce(Accountancy) from University of Calcutta, India.-2001
- Master of Business Administration (Finance) from ICFAI University, India- 2008
- Master of Science (Business Analytics) from University of Denver, USA- 2022

**CERTIFICATION**

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- Chartered Accountancy (ACA), India-2007
- Cost and Management Accountant (ACMA), India-2009
- Certified Information Security Auditor (CISA), USA-2015
- ISO-27001-2015 Lead Auditor, India-2015
- HITRUST- CSF, USA-2018
- The Sustainable Development Goals – A global, transdisciplinary vision for the future- University of Copenhagen (2024)